

AS OF SEPT. 30. Best- and worst-performing ETF

EXCLUDES LEVERAGED AND
INVERSE FUNDS

By category, ranked by three-month return

SOURCE: LIPPER

MULTICAP

	3-month return	1-year return	Net assets (\$M)	Expense ratio*	3-month avg daily volume
Top 10					
First Trust U.S. IPO Index Fund (FPX)	14.89%	43.20%	\$160.6	0.60%	47,806
Direxion Nasdaq-100 Equal Weighted Index Shares (QQQE)	11.97%	31.28%	\$7.5	0.35%	2,212
First Trust Nasdaq-100 Equal Weighted Index Fund (QQEW)	11.90%	30.99%	\$446.3	0.60%	177,743
Fidelity Nasdaq Composite Index Tracking Stock (CNEQ)	11.09%	22.48%	N/A	0.22%	26,597
Market Vectors Wide Moat ETF (MOAT)	10.93%	26.55%	\$364.4	0.49%	107,373
First Trust Nasdaq-100 Ex-Technology Sector Index Fund (QQXT)	10.57%	33.72%	\$74.5	0.60%	16,523
PowerShares Dynamic Buyback Achievers Portfolio (PKW)	9.99%	31.97%	\$1,627.8	0.71%	561,191
ALPS Barron's 400 ETF (BFOR)	9.97%	N/A	\$100.7	0.65%	13,972
Guggenheim Investments S&P 500 Pure Growth ETF (RPG)	9.78%	30.46%	\$628.5	0.35%	63,801
First Trust Multi-Cap Growth Alphadex Fund (FAD)	9.43%	26.92%	\$38.9	0.70%	4,836
Bottom 5					
PowerShares S&P 500 Low Volatility Portfolio (SPLV)	1.08%	14.20%	\$4,278.5	0.25%	197,579
iShares MSCI USA Minimum Volatility ETF (USMV)	2.82%	14.57%	\$2,029.8	0.15%	1,282,954
Horizons S&P 500 Covered Call ETF (HSPX)	3.35%	N/A	\$18.8	0.65%	14,885
First Trust Value Line Dividend Index Fund (FVD)	3.72%	18.87%	\$719.8	0.70%	127,957
Guggenheim Investments Multi-Asset Income ETF (CVY)	3.75%	12.65%	\$1,144.8	0.77%	223,055

MIDCAP

	3-month return	1-year return	Net assets (\$M)	Expense ratio*	3-month avg daily volume
Top 10					
Guggenheim Investments Spin-Off ETF (CSD)	11.09%	49.89%	\$345.0	0.65%	115,948
iShares Morningstar Mid-Cap Growth ETF (JKH)	10.48%	26.21%	\$179.9	0.30%	5,477
SPDR Russell Small Cap Completeness ETF (RSCO)	10.42%	32.49%	\$83.6	0.10%	10,461
Vanguard Extended Market Index Fund (VXF)	10.21%	31.50%	\$2,751.3	0.14%	213,355
First Trust Mid-Cap Growth Alphadex Fund (FNY)	9.67%	26.24%	\$26.7	0.70%	1,844
First Trust Value Line 100 Exchange-Traded Fund (FVL)	9.49%	27.34%	\$49.8	0.70%	7,704
Guggenheim Invest S&P MidCap 400 Pure Growth ETF (RFG)	9.48%	25.17%	\$666.2	0.35%	21,359
iShares Russell Mid-Cap Growth ETF (IWP)	9.26%	27.31%	\$4,241.0	0.25%	291,216
Guggenheim Investments Raymond James SB-1 Equity ETF (RYJ)	9.09%	34.96%	\$164.8	0.75%	32,286
Vanguard Mid-Cap Growth Index Fund (VOT)	8.94%	26.68%	\$1,739.0	0.10%	59,794
Bottom 5					
PowerShares S&P MidCap Low Volatility Portfolio (XMLV)	1.63%	N/A	\$18.8	0.25%	11,342
iShares Russell Mid-Cap Value ETF (IWS)	5.83%	27.47%	\$5,149.8	0.28%	329,846
PowerShares Fundamental Pure Mid Core Portfolio (PXMCI)	6.39%	25.90%	\$24.5	0.39%	909
Vanguard Mid-Cap Value Index Fund (VOE)	6.52%	28.95%	\$1,911.0	0.10%	115,054
Guggenheim Investments Mid-Cap Core ETF (ICZA)	6.73%	28.54%	\$95.2	0.65%	3,117

SMALL-CAP

	3-month return	1-year return	Net assets (\$M)	Expense ratio*	3-month avg daily volume
Top 10					
iShares Morningstar Small-Cap Growth ETF (JKK)	14.05%	32.21%	\$123.5	0.30%	6,112

LARGE-CAP

	3-month return	1-year return	Net assets (\$M)	Expense ratio*	3-month avg daily volume
Top 10					
Columbia Select Large Cap Growth ETF (RWG)	14.89%	43.20%	\$160.6	0.60%	47,806
PowerShares QQQ Trust Series 1 (QQQ)	11.97%	31.28%	\$7.5	0.35%	2,212
Global X Top Guru Holdings Index ETF (GURU)	11.90%	30.99%	\$446.3	0.60%	177,743
Columbia Large Cap Growth ETF (RPX)	11.09%	22.48%	N/A	0.22%	26,597
iShares Morningstar Large-Cap Growth ETF (JKE)	10.93%	26.55%	\$364.4	0.49%	107,373
PowerShares Dynamic Large Cap Growth Portfolio (PWB)	9.99%	31.97%	\$1,627.8	0.71%	561,191
Vanguard Mega Cap Growth Index Fund ETF (MGK)	9.97%	N/A	\$100.7	0.65%	13,972
Vanguard Growth Index Fund (VUG)	9.78%	30.46%	\$628.5	0.35%	63,801
Vanguard Russell 1000 Growth Index Fund ETF (VONG)	9.43%	26.92%	\$38.9	0.70%	4,836
Bottom 5					
ALPS VelocityShares Tail Risk Hedged Large Cap ETF (TRSK)	1.08%	14.20%	\$4,278.5	0.25%	197,579
iShares Morningstar Large-Cap Value ETF (JKF)	2.82%	14.57%	\$2,029.8	0.15%	1,282,954
SPDR Russell 1000 Low Volatility ETF (LGLV)	3.35%	N/A	\$18.8	0.65%	14,885
SPDR Dow Jones Industrial Average ETF Trust (DIA)	3.72%	18.87%	\$719.8	0.70%	127,957
PowerShares Fundamental Pure Large Value Portfolio (PXLV)	3.75%	12.65%	\$1,144.8	0.77%	223,055

EMERGING

	3-month return	1-year return	Net assets (\$M)	Expense ratio*	3-month avg daily volume
Top 10					
PowerShares Golden Dragon China Portfolio (PGJ)	11.09%	49.89%	\$345.0	0.65%	115,948
Market Vectors Egypt Index ETF (EGPT)	10.48%	26.21%	\$179.9	0.30%	5,477
First Trust ISE Chindia Index Fund (FNI)	10.42%	32.49%	\$83.6	0.10%	10,461
Global X FTSE Argentina 20 ETF (ARGT)	10.21%	31.50%	\$2,751.3	0.14%	213,355
iShares MSCI Poland Capped ETF (EPOL)	9.67%	26.24%	\$26.7	0.70%	1,844
Market Vectors Poland ETF (PLND)	9.49%	27.34%	\$49.8	0.70%	7,704
iShares MSCI Colombia Capped ETF (ICOL)	9.48%	25.17%	\$666.2	0.35%	21,359
Guggenheim Investments China All-Cap ETF (YAO)	9.26%	27.31%	\$4,241.0	0.25%	291,216
Guggenheim Investments BRIC ETF (EEB)	9.09%	34.96%	\$164.8	0.75%	32,286
First Trust China Alphadex Fund (FCA)	8.94%	26.68%	\$1,739.0	0.10%	59,794
Bottom 5					
Market Vectors Indonesia Small-Cap ETF (IDXJ)	1.63%	N/A	\$18.8	0.25%	11,342
iShares MSCI Indonesia ETF (EIDD)	5.83%	27.47%	\$5,149.8	0.28%	329,846
Market Vectors Indonesia Index ETF (IDX)	6.39%	25.90%	\$24.5	0.39%	909
Market Vectors India Small-Cap Index ETF (SCIF)	6.52%	28.95%	\$1,911.0	0.10%	115,054
EGShares India Small Cap ETF (SCIN)	6.73%	28.54%	\$95.2	0.65%	3,117

DEVELOPED INTERN

	3-month return	1-year return	Net assets (\$M)	Expense ratio*	3-month avg daily volume
Top 10					
Global X FTSE Greece 20 ETF (GREK)	14.05%	32.21%	\$123.5	0.30%	6,112